

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE****DECLARATION FOR PATENT APPLICATION**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am an original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**MODIFIED PICTET-SPENGLER REACTION AND PRODUCTS PREPARED THEREFROM**

the specification of which was filed on January 14, 2005 as Application No. .

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by an amendment, if any, specifically referred to herein.

I acknowledge the duty to disclose all information known to me that is material to patentability in accordance with Title 37, Code of Federal Regulations, § 1.56.

**FOREIGN PRIORITY CLAIM**

I hereby claim foreign priority benefits under Title 35, United States Code § 119(a)-(d) of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

☒ no such foreign applications have been filed

☐ such foreign application have been filed as follows:

EARLIEST FOREIGN APPLICATION(S), IF ANY FILED WITHIN 12 MONTHS  
(6 MONTHS FOR DESIGN) PRIOR TO THIS U.S. APPLICATION

Application Number	Country	Date of Filing (dd/mm/yyyy)	Priority Claimed Under 35 USC 119
			___ Yes No ___
			___ Yes No ___
			___ Yes No ___

ALL FOREIGN APPLICATION(S), IF ANY FILED MORE THAN 12 MONTHS  
(6 MONTHS FOR DESIGN) PRIOR TO THIS U.S. APPLICATION

Application Number	Country	Date of Filing (dd/mm/yyyy)

CLAIM FOR BENEFIT OF EARLIER U.S. PROVISIONAL APPLICATIONS

I hereby claim priority benefits under Title 35, United States Code §119(e), of any United States provisional patent application(s) listed below:

☐ no such U.S. provisional applications have been filed.

☒ such U.S. provisional application have been filed as follows:

Application Number	Date of Filing (dd/mm/yyyy)	Priority Claimed Under 35 USC 119
60/400,386	July 31, 2002	<u>x</u> Yes No ___
60/460,161	April 3, 2003	<u>x</u> Yes No ___
		___ Yes No ___

CLAIM FOR BENEFIT OF EARLIER U.S./PCT APPLICATION(S)

I hereby claim the benefit under Title 35, United States Code, §120 of the United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose all information that is material to patentability in accordance with Title 37, Code of Federal

Regulations, §1.56 which became available to me between the filing date of the prior application and the national or PCT international filing date of this application:

☐ no such U.S./PCT applications have been filed.

☒ such U.S./PCT application have been filed as follows:

Application Number	Date of Filing (dd/mm/yyyy)
0000US03/22039	July 14, 2003

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

I hereby appoint:

All practitioners at Customer Number 04743

jointly, and each of them severally, my attorneys at law/patent agent(s), with full power of substitution, delegation and revocation, to prosecute this application, to make alterations and amendments therein, to receive the patent, and to transact all business in the U. S. Patent and Trademark Office connected therewith.

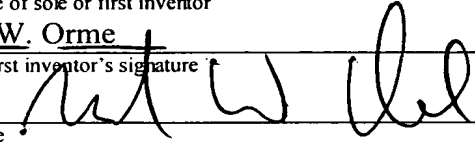
Please mail all correspondence to James J. Napoli, whose address is:

**MARSHALL, GERSTEIN & BORUN LLP**  
233 S. Wacker Drive, Suite 6300  
Sears Tower  
Chicago, Illinois 60606-6357

Please direct telephone calls to: James J. Napoli at (312) 474-6300.

Please direct facsimiles to: (312) 474-0448

1-00

Full name of sole or first inventor <b>Mark W. Orme</b>	
Sole or first inventor's signature 	Date <b>3/11/05</b>
Residence <b>Seattle, Washington WA</b>	
Citizenship <b>United States of America</b>	
Mailing Address <b>4235 Francis Avenue, #203 Seattle, Washington 98103</b> <b>10715 Palatine Ave N Seattle WA 98133</b>	

Full name of second inventor, if any <b>Michael J. Martinelli</b>	
Second inventor's signature	Date
Residence <b>Zionsville, Indiana</b>	
Citizenship <b>United States of America</b>	
Mailing Address <b>1935 Mulsanne Drive Zionsville, Indiana 46077</b>	

Full name of third inventor, if any <b>Christopher W. Doecke</b>	
Third inventor's signature	Date
Residence <b>Indianapolis, Indiana</b>	
Citizenship <b>United States of America</b>	
Mailing Address <b>7515 East 80th Street Indianapolis, Indiana 46256</b>	

Full name of fourth inventor, if any <b>Joseph M. Pawlak</b>	
Fourth inventor's signature	Date
Residence <b>Indianapolis, Indiana</b>	
Citizenship <b>United States of America</b>	
Mailing Address <b>78 Church Street Indianapolis, Indiana 46227</b>	

10521393

Full name of sole or first inventor <b>Mark W. Orme</b>	
Sole or first inventor's signature	Date
Residence <b>Seattle, Washington</b>	
Citizenship <b>United States of America</b>	
Mailing Address  <b>4235 Francis Avenue, #203 Seattle, Washington 98103</b>	

2-00

Full name of second inventor, if any <b>Michael J. Martinelli</b>	
Second inventor's signature <i>Michael J. Martinelli</i>	Date <b>March 1, 2005</b>
Residence <b>Thousand Oaks, California CA</b>	
Citizenship <b>United States of America</b>	
Mailing Address  <b>3357 Country Home Court Thousand Oaks, California 91320</b>	

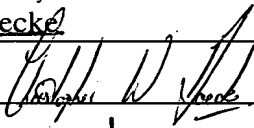
Full name of third inventor, if any <b>Christopher W. Doecke</b>	
Third inventor's signature	Date
Residence <b>Indianapolis, Indiana</b>	
Citizenship <b>United States of America</b>	
Mailing Address  <b>7515 East 80th Street Indianapolis, Indiana 46256</b>	

Full name of fourth inventor, if any <b>Joseph M. Pawlak</b>	
Fourth inventor's signature	Date
Residence <b>Indianapolis, Indiana</b>	
Citizenship <b>United States of America</b>	
Mailing Address  <b>2422 E. Southport Road Indianapolis, Indiana 46227</b>	

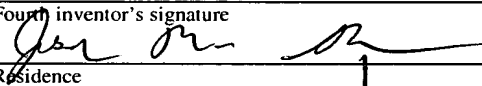
Full name of sole or first inventor <b>Mark W. Orme</b>	
Sole or first inventor's signature	Date
Residence <b>Seattle, Washington</b>	
Citizenship <b>United States of America</b>	
Mailing Address  <b>4235 Francis Avenue, #203 Seattle, Washington 98103</b>	

Full name of second inventor, if any <b>Michael J. Martinelli</b>	
Second inventor's signature	Date
Residence <b>Thousand Oaks, California</b>	
Citizenship <b>United States of America</b>	
Mailing Address  <b>3357 Country Home Court Thousand Oaks, California 91320</b>	

3-00

Full name of third inventor, if any <b>Christopher W. Doecke</b>	
Third inventor's signature 	Date <b>2-11-2005</b>
Residence <b>Indianapolis, Indiana IN</b>	
Citizenship <b>United States of America</b>	
Mailing Address  <b>7515 East 80th Street Indianapolis, Indiana 46256</b>	

4-00

Full name of fourth inventor, if any <b>Joseph M. Pawlak</b>	
Fourth inventor's signature 	Date <b>3/9/05</b>
Residence <b>Indianapolis, Indiana IN</b>	
Citizenship <b>United States of America</b>	
Mailing Address  <b>2422 E. Southport Road Indianapolis, Indiana 46227</b>	

10521393

5-00

Full name of fifth inventor, if any <u>Erik C. Chelius</u>	
Fifth inventor's signature <i>Erik C. Chelius</i>	Date 23-FEB-05
Residence Innishannon, Ireland 1 EX	
Citizenship <del>Ireland</del> UNITED STATES OF AMERICA	
Mailing Address  Barna Innishannon County Cork IRELAND	

Full name of sixth inventor, if any	
Sixth inventor's signature	Date
Residence	
Citizenship	
Mailing Address	

Full name of seventh inventor, if any	
Seventh inventor's signature	Date
Residence	
Citizenship	
Mailing Address	

Full name of eighth inventor, if any	
Eighth inventor's signature	Date
Residence	
Citizenship	
Mailing Address	

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**  
**DECLARATION FOR PATENT APPLICATION**

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am an original, first and joint inventor of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**MODIFIED PICTET-SPENGLER REACTION AND PRODUCTS PREPARED THEREFROM**

the specification of which was filed on January 14, 2005 as Application No. .

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by an amendment, if any, specifically referred to herein.

I acknowledge the duty to disclose all information known to me that is material to patentability in accordance with Title 37, Code of Federal Regulations, § 1.56.

**FOREIGN PRIORITY CLAIM**

I hereby claim foreign priority benefits under Title 35, United States Code § 119(a)-(d) of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

☒ no such foreign applications have been filed

☐ such foreign application have been filed as follows:



**EARLIEST FOREIGN APPLICATION(S), IF ANY FILED WITHIN 12 MONTHS  
(6 MONTHS FOR DESIGN) PRIOR TO THIS U.S. APPLICATION**

Application Number	Country	Date of Filing (dd/mm/yyyy)	Priority Claimed Under 35 USC 119
			___ Yes No ___
			___ Yes No ___
			___ Yes No ___

**ALL FOREIGN APPLICATION(S), IF ANY FILED MORE THAN 12 MONTHS  
(6 MONTHS FOR DESIGN) PRIOR TO THIS U.S. APPLICATION**

Application Number	Country	Date of Filing (dd/mm/yyyy)

**CLAIM FOR BENEFIT OF EARLIER U.S. PROVISIONAL APPLICATIONS**

I hereby claim priority benefits under Title 35, United States Code §119(e), of any United States provisional patent application(s) listed below:

- ☐ no such U.S. provisional applications have been filed.
- ☒ such U.S. provisional application have been filed as follows:

Application Number	Date of Filing (dd/mm/yyyy)	Priority Claimed Under 35 USC 119
60/400,386	July 31, 2002	<u>  x  </u> Yes No ___
60/460,161	April 3, 2003	<u>  x  </u> Yes No ___
		___ Yes No ___

**CLAIM FOR BENEFIT OF EARLIER U.S./PCT APPLICATION(S)**

I hereby claim the benefit under Title 35, United States Code, §120 of the United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose all information that is material to patentability in accordance with Title 37, Code of Federal

Regulations, §1.56 which became available to me between the filing date of the prior application and the national or PCT international filing date of this application:

☐ no such U.S./PCT applications have been filed.

☒ such U.S./PCT application have been filed as follows:

Application Number	Date of Filing (dd/mm/yyyy)
0000US03/22039	July 14, 2003

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

I hereby appoint:

All practitioners at Customer Number 04743

jointly, and each of them severally, my attorneys at law/patent agent(s), with full power of substitution, delegation and revocation, to prosecute this application, to make alterations and amendments therein, to receive the patent, and to transact all business in the U. S. Patent and Trademark Office connected therewith.

Please mail all correspondence to James J. Napoli, whose address is:

**MARSHALL, GERSTEIN & BORUN LLP**  
233 S. Wacker Drive, Suite 6300  
Sears Tower  
Chicago, Illinois 60606-6357

Please direct telephone calls to: James J. Napoli at (312) 474-6300.

Please direct facsimiles to: (312) 474-0448

Full name of sole or first inventor <b>Mark W. Orme</b>	
Sole or first inventor's signature	Date
Residence <b>Seattle, Washington</b>	
Citizenship <b>United States of America</b>	
Mailing Address  <b>4235 Francis Avenue, #203 Seattle, Washington 98103</b>	

Full name of second inventor, if any <b>Michael J. Martinelli</b>	
Second inventor's signature	Date
Residence <b>Thousand Oaks, California</b>	
Citizenship <b>United States of America</b>	
Mailing Address  <b>3357 Country Home Court Thousand Oaks, California 91320</b>	

Full name of third inventor, if any <b>Christopher W. Doecke</b>	
Third inventor's signature	Date
Residence <b>Indianapolis, Indiana</b>	
Citizenship <b>United States of America</b>	
Mailing Address  <b>7515 East 80th Street Indianapolis, Indiana 46256</b>	

Full name of fourth inventor, if any <b>Joseph M. Pawlak</b>	
Fourth inventor's signature	Date
Residence <b>Indianapolis, Indiana</b>	
Citizenship <b>United States of America</b>	
Mailing Address  <b>2422 E. Southport Road Indianapolis, Indiana 46227</b>	

Full name of fifth inventor, if any <b>Erik C. Chelius</b>	
Fifth inventor's signature	Date
Residence <b>Innishannon , Ireland</b>	
Citizenship <b>Ireland</b>	
Mailing Address  <b>Barna Innishannon County Cork IRELAND</b>	

Full name of sixth inventor, if any	
Sixth inventor's signature	Date
Residence	
Citizenship	
Mailing Address	

Full name of seventh inventor, if any	
Seventh inventor's signature	Date
Residence	
Citizenship	
Mailing Address	

Full name of eighth inventor, if any	
Eighth inventor's signature	Date
Residence	
Citizenship	
Mailing Address	